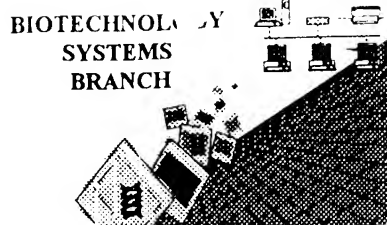


01PE

RAW SEQUENCE LISTING ERROR REPORT

0390
0617

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/099,836A
Source: 01PE
Date Processed by STIC: 6/14/02

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:
U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
Or
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002

Raw Sequence Listing Error Summary

ERROR DETECTED

SUGGESTED CORRECTION

SERIAL NUMBER: 1000 8704

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1 Wrapped Nucleics
 Wrapped Aminos
The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
- 2 Invalid Line Length
The rules require that a line not exceed 72 characters in length. This includes white spaces.
- 3 Misaligned Amino
 Numbering
The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
- 4 Non-ASCII
The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
- 5 Variable Length
Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
- 6 PatentIn 2.0
 "bug"
A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
- 7 ✓ Skipped Sequences
 (OLD RULES)
Sequence(s) 233-234 missing. If intentional, please insert the following lines for each skipped sequence:
(2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
(i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
This sequence is intentionally skipped

Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
- 8 Skipped Sequences
 (NEW RULES)
Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence.
<210> sequence id number
<400> sequence id number
000
- 9 Use of n's or Xaa's
 (NEW RULES)
Use of n's and/or Xaa's have been detected in the Sequence Listing.
Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.
In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
- 10 Invalid <213>
 Response
Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
- 11 Use of <220>
Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses.
Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.
(See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
- 12 PatentIn 2.0
 "bug"
Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
- 13 Misuse of n
n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.



OIPE

Does Not Comply
Corrective Action Needed

RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/099,836A

DATE: 06/14/2002

TIME: 15:22:38

Input Set : A:\9196-004.txt

Output Set: N:\CRF3\06142002\J099836A.raw

SEQUENCE LISTING

C--> 5 (1) GENERAL INFORMATION:

7 (i) APPLICANT: Dasseux, Jean-Louis
8 Sekul, Renate
9 Buttner, Klaus
10 Cornut, Isabelle
11 Metz, Gunther
12 Dufourcq, Jean

C--> 14 (ii) TITLE OF INVENTION: APOLIPOPROTEIN A-I AGONISTS
15 AND THEIR USE TO TREAT DYSLIPIDEMIC DISORDERS

E--> 17 (iii) NUMBER OF SEQUENCES: 254 *Counted 255!*
19 (iv) CORRESPONDENCE ADDRESS:

20 (A) ADDRESSEE: Pennie & Edmonds LLP
21 (B) STREET: 1155 Avenue of the Americas
22 (C) CITY: New York
23 (D) STATE: NY
24 (E) COUNTRY: USA
25 (F) ZIP: 10036-2811

27 (v) COMPUTER READABLE FORM:
28 (A) MEDIUM TYPE: Diskette
29 (B) COMPUTER: IBM Compatible
30 (C) OPERATING SYSTEM: DOS
31 (D) SOFTWARE: FastSEQ Version 2.0

33 (vi) CURRENT APPLICATION DATA:

C--> 34 (A) APPLICATION NUMBER: US/10/099,836A

C--> 35 (B) FILING DATE: 15-Mar-2002

36 (C) CLASSIFICATION:

38 (vii) PRIOR APPLICATION DATA:

39 (A) APPLICATION NUMBER:

40 (B) FILING DATE:

44 (viii) ATTORNEY/AGENT INFORMATION:

45 (A) NAME: Coruzzi, Laura A

46 (B) REGISTRATION NUMBER: 30,742

47 (C) REFERENCE/DOCKET NUMBER: 009196-0004-999

49 (ix) TELECOMMUNICATION INFORMATION:

50 (A) TELEPHONE: 650-493-4935

51 (B) TELEFAX: 650-493-5556

52 (C) TELEX: 66141 PENNIE

ERRORED SEQUENCES

4729 (2) INFORMATION FOR SEQ ID NO: 233:

*Server - please see error summary
and 11/07/02 regarding sequence
sequences*

RAW SEQUENCE LISTING

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Input Set : A:\9196-004.txt

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4731 (i) SEQUENCE CHARACTERISTICS:
4732 (A) LENGTH:
E--> 4733 (B) TYPE: - delete
4734 (C) STRANDEDNESS:
4735 (D) TOPOLOGY:
W--> 4737 (ii) MOLECULE TYPE:
4739 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 233:
W--> 4741 This sequence has been intentionally skipped
4744 Leu Lys
4744 (2) INFORMATION FOR SEQ ID NO: 234:
4746 (i) SEQUENCE CHARACTERISTICS:
4747 (A) LENGTH:
E--> 4748 (B) TYPE:
4749 (C) STRANDEDNESS: - delete
4750 (D) TOPOLOGY:
W--> 4752 (ii) MOLECULE TYPE:
4754 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 234:
W--> 4756 This sequence has been intentionally skipped
4759 Leu Lys
4759 (2) INFORMATION FOR SEQ ID NO: 235:
4761 (i) SEQUENCE CHARACTERISTICS:
4762 (A) LENGTH:
E--> 4763 (B) TYPE:
4764 (C) STRANDEDNESS: - delete
4765 (D) TOPOLOGY:
W--> 4767 (ii) MOLECULE TYPE:
4769 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 235:
W--> 4771 This sequence has been intentionally skipped
4774 Leu Lys
4774 (2) INFORMATION FOR SEQ ID NO: 236:
4776 (i) SEQUENCE CHARACTERISTICS:
4777 (A) LENGTH:
E--> 4778 (B) TYPE:
4779 (C) STRANDEDNESS: - delete
4780 (D) TOPOLOGY:
W--> 4782 (ii) MOLECULE TYPE:
4784 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 236:
W--> 4786 This sequence has been intentionally skipped
4789 Leu Lys

VERIFICATION SUMMARY

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Input Set : A:\9196-004.txt

Output Set: N:\CRF3\06142002\J099836A.raw

L:5 M:220 C: Keyword misspelled or invalid format, [(1) GENERAL INFORMATION:]
L:14 M:220 C: Keyword misspelled or invalid format, [(ii) TITLE OF INVENTION:]
L:34 M:220 C: Keyword misspelled or invalid format, [(A) APPLICATION NUMBER:]
L:35 M:220 C: Keyword misspelled or invalid format, [(B) FILING DATE:]
L:63 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=1
L:73 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1 after pos.:0
L:86 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=2
L:103 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=3
L:120 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=4
L:137 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=5
L:147 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:0
L:160 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=6
L:170 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:0
L:183 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=7
L:200 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=8
L:217 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=9
L:234 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=10
L:246 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:10 after pos.:16
L:257 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=11
L:274 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=12
L:291 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=13
L:308 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=14
L:330 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:14 after pos.:16
L:341 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=15
L:358 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=16
L:375 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=17
L:392 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=18
L:409 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=19
L:419 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:19 after pos.:0
L:432 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=20
L:449 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=21
L:459 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:21 after pos.:0
L:472 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=22
L:489 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=23
L:506 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=24
L:523 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=25
L:533 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:25 after pos.:0
L:546 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=26
L:563 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=27
L:573 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:27 after pos.:0
L:586 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=28
L:603 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=29
L:620 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=30
L:643 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=31
L:653 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:31 after pos.:0
L:666 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=32
L:676 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:32 after pos.:0
L:689 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=33

VERIFICATION SUMMARY

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Input Set : A:\9196-004.txt

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L:706 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=34
L:716 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:34 after pos.:0
L:729 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=35
L:746 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=36
L:763 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=37
L:780 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=38
L:790 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:38 after pos.:0
L:803 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=39
L:820 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=40
L:837 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=41
L:847 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:41 after pos.:0
L:860 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=42
L:870 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:42 after pos.:0
L:883 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=43
L:893 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:43 after pos.:0
L:906 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=44
L:921 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:44 after pos.:0
L:934 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=45
L:944 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:45 after pos.:0
L:957 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=46
L:967 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:46 after pos.:0
L:980 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=47
L:997 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=48
L:1012 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:48 after pos.:0
L:1025 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=49
L:1042 M:246 W: Invalid value of Alpha Sequence Header Field, [MOLECULE TYPE:], SeqNo=50
L:1052 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:50 after pos.:0
L:1075 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:51 after pos.:0
L:1098 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:52 after pos.:0
L:1206 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:58 after pos.:0
L:1263 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:61 after pos.:0
L:1286 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:62 after pos.:0
L:1309 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:63 after pos.:0
L:1332 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:64 after pos.:0
L:1355 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:65 after pos.:0
L:1412 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:68 after pos.:0
L:1435 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:69 after pos.:0
L:1458 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:70 after pos.:0
L:1481 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:71 after pos.:0
L:1504 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:72 after pos.:0
L:1527 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:73 after pos.:0
L:1550 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:74 after pos.:0
L:1590 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:76 after pos.:0
L:1613 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:77 after pos.:0
L:1636 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:78 after pos.:0
L:1693 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:81 after pos.:0
L:1716 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:82 after pos.:0
L:1739 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:83 after pos.:0
L:1836 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:88 after pos.:0

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L:1859 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:89 after pos.:0
L:1882 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:90 after pos.:0
L:1905 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:91 after pos.:0
L:1928 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:92 after pos.:0
L:1951 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:93 after pos.:0
L:1991 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:95 after pos.:0
L:2019 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:96 after pos.:0
L:4732 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=233
L:4741 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (233) SEQUENCE:
L:4747 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=234
L:4756 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (234) SEQUENCE:
L:4762 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=235
L:4771 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (235) SEQUENCE:
L:4777 M:241 E: Invalid Alpha Header Field, [TYPE:], SeqNo=236
L:4786 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (236) SEQUENCE:
L:17 M:203 E: No. of Seq. differs, : Input 254, Counted 258